



Policy on Treatment of Inactive Accounts

Allegro Capital Advisors Pvt Ltd holds at most attention while dealing/transacting with dormant and inactive accounts.

All Dormant accounts will be treated in accordance with the policies prescribed under the PML Act, and by Exchanges. Accordingly, an account which is inactive, or which has no transactions for a period of one year becomes dormant, the dormant account will be frozen immediately, UCC and trading terminal will be made inactive, and the client will not be permitted to undertake any further transaction in such a dormant account. Respective dormant account clients will be intimated through email about their status of account as dormant. The procedure for listing of dormant account is run on daily basis.

In case of clients who have credit balance and who are flagged as Dormant, the funds/ securities of such clients are duly settled during monthly/quarterly payout of funds and securities.

Dormant account shall be re-activated only after undertaking the proper due-diligence process and fulfilling such conditions as may be deemed fit by the authorized person of the organization. As a practice, on receipt of an instruction from the client of a Dormant account, the authorized person has to appropriately verify IPV and KYC of such clients as well as the authenticity of the instruction. The instruction is to be accepted only on the satisfaction of the above, and also by giving reactivation letter in below format or by sending email from registered email id.

The above-stated policy may be modified at any time in accordance with the various rules, regulations, bye-laws, and guidelines that may be prescribed by SEBI, Exchange or any other competent authority or as per the internal policy of the organization from time to time.



From

To

Allegro Capital Advisors Pvt Ltd
Bangalore

Dear Sir,

Sub: Activation of Dormant Account UCC No.

I am having a trading account with the above UCC Number which has been idle for more than 12 months. Since I would like to start trading, I request you to activate my account with the above UCC.

I hereby confirm that there has been no changes in the information provided by me in the KYC.

Kindly do the needful in this regard.

Thanking you,

Your Sincerely,

(Client Name)